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Report on the activities of the Office of Internal Oversight Services

Report on the activities of the Office of Internal Oversight Services for the period from 1 July 2015 to 30 June 2016

Addendum

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Abbreviations and acronyms

AMISOM	African Union Mission in Somalia
BINUCA	United Nations Integrated Peacebuilding Office in the Central African Republic
DESA	Department of Economic and Social Affairs
DFS	Department of Field Support
DGACM	Department for General Assembly and Conference Management
DM	Department of Management
DPA	Department of Political Affairs
DPI	Department of Public Information
DPKO	Department of Peacekeeping Operations
DSS	Department of Safety and Security
ECA	Economic Commission for Africa
ECE	Economic Commission for Europe
ECLAC	Economic Commission for Latin America and the Caribbean
EOSG	Executive Office of the Secretary-General
ESCAP	Economic and Social Commission for Asia and the Pacific
ESCWA	Economic and Social Commission for Western Asia
ICT	Information and communications technology
ICTR	International Criminal Tribunal for Rwanda
ICTY	International Tribunal for the Former Yugoslavia
ISDR	International Strategy for Disaster Reduction
ITC	International Trade Centre
MICT	International Residual Mechanism for Criminal Tribunals
MINUSCA	United Nations Multidimensional Integrated Stabilization Mission in the Central African Republic
MINUSMA	United Nations Multidimensional Integrated Stabilization Mission in Mali
MINUSTAH	United Nations Stabilization Mission in Haiti
MONUSCO	United Nations Organization Stabilization Mission in the Democratic Republic of the Congo
OCHA	Office for the Coordination of Humanitarian Affairs
ODA	Office of Disarmament Affairs

OHRLLS	Office of the High Representative for the Least Developed Countries, Landlocked Developing Countries and Small Island Developing States
OHCHR	Office of the United Nations High Commissioner for Human Rights
OICT	Office of Information and Communication Technology
OIOS	Office of Internal Oversight Services
OLA	Office of Legal Affairs
OOSA	Office for Outer Space Affairs
PBSO	United Nations Peacebuilding Support Office
RSCE	Regional Service Centre in Entebbe, Uganda
UNAIDS	Joint United Nations Programme on HIV/AIDS
UNAMA	United Nations Assistance Mission in Afghanistan
UNAMI	United Nations Assistance Mission for Iraq
UNAMID	African Union-United Nations Hybrid Operation in Darfur
UNCCD	United Nations Convention to Combat Desertification
UNCTAD	United Nations Conference on Trade and Development
UNDOF	United Nations Disengagement Observer Force
UNEP	United Nations Environment Programme
UNESCO	United Nations Educational, Scientific and Cultural Organization
UNFCCC	United Nations Framework Convention on Climate Change
UNFICYP	United Nations Peacekeeping Force in Cyprus
UN-Habitat	United Nations Human Settlements Programme
UNHCR	Office of the United Nations High Commissioner for Refugees
UNICEF	United Nations Children's Fund
UNICRI	United Nations Interregional Crime and Justice Research Institute
UNIFIL	United Nations Interim Force in Lebanon
UNIOGBIS	United Nations Integrated Peacebuilding Office in Guinea-Bissau
UNISFA	United Nations Interim Security Force for Abyei
UNITAR	United Nations Institute for Training and Research
UNJSPF	United Nations Joint Staff Pension Fund
UNJSPF-IMD	United Nations Joint Staff Pension Fund-Investment Management Division
UNMIK	United Nations Interim Administration Mission in Kosovo
UNMIL	United Nations Mission in Liberia

UNMISS	United Nations Mission in South Sudan
UNMOGIP	United Nations Military Observer Group in India and Pakistan
UNOCI	United Nations Operation in Côte d'Ivoire
UNODC	United Nations Office on Drugs and Crime
UNOG	United Nations Office at Geneva
UNON	United Nations Office at Nairobi
UNOV	United Nations Office at Vienna
UNSCO	Office of the United Nations Special Coordinator for the Middle East Peace Process
UNSCOL	Office of the United Nations Special Coordinator for Lebanon
UNSOA	United Nations Support Office for the African Union Mission in Somalia
UNSOM	United Nations Assistance Mission in Somalia
UNSOS	United Nations Support Office in Somalia
UNSSC	United Nations System Staff College
UNSMIL	United Nations Support Mission in Libya
UNTSO	United Nations Truce Supervision Organization
UN-Women	United Nations Entity for Gender Equality and the Empowerment of Women
UNU	United Nations University
UNV	United Nations Volunteers programme
WIPO	World Intellectual Property Organization

I. Introduction

1. The present addendum provides information about the status of implementation of recommendations issued by the Office of Internal Oversight Services (OIOS). The report is divided into five main sections (II-VI). Section II provides an overall assessment of the implementation of recommendations. Section III provides a breakdown of open recommendations, by United Nations entity. Section IV includes a list of critical recommendations of which the implementation is past due. Section V includes OIOS recommendations with financial implications. Section VI consists of a list of all of the oversight reports issued by OIOS between 1 July 2015 and 30 June 2016.

II. Overall assessment of the implementation of recommendations

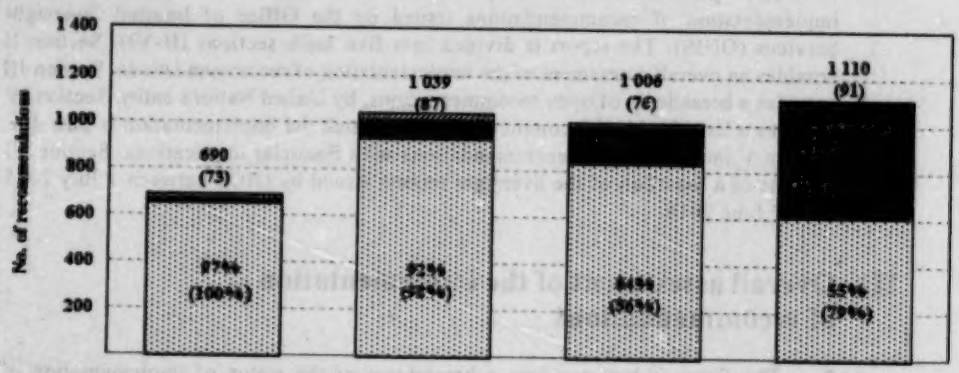
2. The figure below provides a breakdown of the status of implementation of recommendations issued from 1 January 2012 to 31 December 2015, including the implementation rate for each of those calendar years.[1] During the period from 1 January to 31 December 2015, OIOS issued 1,110 recommendations to United Nations entities. Of those, 91 (8 per cent) were deemed critical. As at 31 December 2015, programme managers had implemented 604 (55 per cent) of all the recommendations issued during the calendar year, including 72 (79 per cent) of the critical recommendations issued during 2015.[2]

[1] The most recent follow-up of important recommendations was carried out in January 2016 for recommendations issued as at 31 December 2015, in accordance with the new follow-up schedule established in 2011 (see A/67/297 (Part I)). Critical recommendations due for implementation are followed up on a quarterly basis; therefore their status is as at 30 June 2016 for recommendations issued up to the end of December 2015. The information given in the figure is provided by calendar year to ensure compatibility with past calendar years and to ensure consistency with the performance data used in the senior managers' compacts.

[2] It is to be noted that the implementation rates of all recommendations and of critical recommendations are based on the total number of implemented recommendations divided by the number of recommendations issued, excluding those that have been closed.

Figure I

Status of recommendations issued from 1 January 2012 to 31 December 2015 as at 30 January 2016, and implementation rates[a]



	2012	*2013*	*2014*	*2015*
Closed	23 (4)	29 (1)	18 (0)	5 (0)
Pending	20 (0)	83 (2)	161 (11)	501 (19)
Implemented	647 (69)	927 (84)	827 (65)	604 (72)

[a] Critical recommendations and the corresponding implementation rates are given in parentheses. A recommendation may be "closed" when, inter alia, it is overtaken by events or when management accepts responsibility for residual risk arising from the non-implementation of the recommendation.

III. Analysis of open recommendations

3. Table 1 provides information about the status of all outstanding recommendations issued up to the end of 30 June 2016 for each United Nations department/office. Of the 1152 recommendations issued as at 30 June 2016, there were 51 critical recommendations outstanding (4 per cent), the implementation of 27 of which was past due (i.e., the target date had been missed) and of 24 was not yet due. Specific information on critical recommendations for which implementation is overdue, including the management's response, is provided in section IV.

Table 1

Breakdown of open recommendations by status and implementation schedule for all outstanding critical recommendations issued up to 30 June 2016

	Outstanding recommendations			*Critical recommendation implementation schedule*		
	Total	*Important*	*Critical*	*Second half of 2016*	*2017 and beyond*	*Past due*
DESA	8	8				
DFS	126	120	6	3	2	1
DGACM	6	6				
DM	109	101	8	5	2	1
DPA	11	11				
DPI	2	2				
DPKO	30	29	1	1		
DSS	4	4				
ECA	13	13				
ECE	7	7				
ECLAC	7	7				
EOSG	12	12				
ESCAP	8	8				
ESCWA	6	6				
ICTY	4	4				
ISDR	2	2				
ITC	3	3				
MICT	12	12				
MINUSCA	6	5	1			1
MINUSMA	27	23	4	1		3
MINUSTAH	24	20	4	2	1	1
MONUSCO	20	20				
OCHA	31	31				
ODA	1	1				
OHCHR	19	19				
OHRLLS	2	2				
OLA	2	2				
OOSA	1	1				
PBSO	2	2				
UNAIDS	1	1				
UNAMA	8	8				
UNAMI	33	33				
UNAMID	17	16	1			1
UNCCD	3	3				
UNCTAD	22	22				

	Outstanding recommendations			Critical recommendation implementation schedule		
	Total	Important	Critical	Second half of 2016	2017 and beyond	Past due
UNDOF	4	4				
UNEP	15	15				
UNESCO	3	3				
UNFCCC	13	13				
UNFICYP	2	2				
UN-Habitat	29	27	2			2
UNHCR	161	148	13	3	1	9
UNICRI	5	5				
UNIFIL	13	13				
UNISFA	4	3	1			1
UNITAR	4	4				
UNJSPF	23	23				
UNJSPF-IMD	32	32				
UNMIK	7	7				
UNMIL	15	15				
UNMISS	29	28	1			1
UNMOGIP	5	5				
UNOCI	31	30	1			1
UNODC	24	24				
UNOG	16	16				
UNON	32	31	1			1
UNOV	10	10				
UNSCO	2	2				
UNSCOL	7	7				
UNSOS	70	63	7	3		4
UNSSC	2	2				
UNTSO	1	1				
UNU	1	1				
UN-Women	3	3				
Total	**1 152**	**1 101**	**51**	**18**	**6**	**27**

IV. Critical recommendations for which implementation is past due

4. Table 2 provides details of critical recommendations for which implementation is overdue, together with the management's latest update on the progress made to date.

Table 2
Critical recommendations for which implementation is past due

Entity	*Assignment number*	*Recommendation*	*Risk category*	*Report date*	*Target date*	*New estimated completion date*
DFS	AT2015/615/01	DFS, in coordination with OICT, should ensure compliance with the Organization's policies for ICT initiatives by: (a) establishing a project board to direct and monitor the implementation of the electronic fuel management system (EFMS-2) in all missions, and (b) updating the high-level business case for EFMS-2 to confirm its viability. *Client response July 2016: part (a) implemented; part (b) in progress.*	Governance	16 October 2015	30 June 2016	–
DM	AH2013/513/04	OICT should institute, through the established ICT governance structures, measures to ensure full compliance with the ICT Project Management Framework for all ICT initiatives undertaken in the Secretariat. *Client response July 2016: the recommendation will be incorporated into the overall OICT governance process during 2016.*	Compliance	13 November 2014	30 June 2016	3 December 2016
MINUSCA	AP2014/637/01	Confidential. *Client response April 2016: in progress*	Human resources	29 September 2015	31 December 2015	31 July 2016
MINUSMA	AP2014/641/01	Confidential. *Client response April 2016: in progress*	Operational	8 December 2014	1 March 2015	31 March 2016
MINUSMA	AP2014/641/04	MINUSMA should take action to fill vacant posts in the fuel unit and once completed: (a) fully implement a quality assurance and fraud deterrent system for analysing and monitoring fuel consumption; (b) provide contingents with adequate training on	Governance	19 June 2015	30 June 2015	30 June 2016

Entity	Assignment number	Recommendation	Risk category	Report date	Target date	New estimated completion date
		the fuel consumption reporting system; and (c) establish a mechanism to reconcile aviation fuel received against the contractor's invoices and investigate any discrepancies. *Client response April 2016: fraud deterrent system for analysing and monitoring fuel consumption is being established.*				
MINUSMA	AP2014/641/04	MINUSMA should: establish standard operating procedures for the provision of fuel to United Nations entities and other parties; and recover the estimated $4.5 million of outstanding accounts receivable for fuel supplied to non-MINUSMA entities for the period from July 2013 to February 2015. *Client response April 2016: standard operating procedure being finalized.*	Financial	19 June 2015	31 December 2015	30 June 2016
MINUSTAH	AP2014/683/01	MINUSTAH should implement an action plan to withdraw excess vehicles held by different sections and redeploy or dispose of excess vehicles, including those in stock. *Client response July 2016: reduction of excess vehicles under way.*	Compliance	8 December 2014	30 June 2015	30 September 2016
UNAMID	AP2012/634/04	Confidential. *Client response July 2016: implementation in progress.*	Operational	20 June 2013	31 July 2013	30 March 2017
UN-Habitat	AA2014/250/01	UN-Habitat should at all times, perform due diligence to assess the technical, financial, managerial and administrative capacities of implementing partners, as prescribed by the policy statement on partnerships with non-governmental and civil society organizations. *Client response July 2016: A draft policy is*	Compliance	5 December 2014	30 June 2015	30 September 2016

Entity	Assignment number	Recommendation	Risk category	Report date	Target date	New estimated completion date
		now at an advanced stage of finalization.				
UN-Habitat	AA2014/250/01	UN-Habitat should follow up, account for and recover project assets in the custody of implementing partners, especially for those projects that have been terminated. *Client response July 2016: resolution of outstanding case pending.*	Operational	5 December 2014	30 June 2015	30 June 2016
UNHCR	AR2012/112/03	UNHCR should engage the Government of Ethiopia to seek redress for the breaches of the country agreement, the implementing partner agreement, and the provisions of the right of use agreements by the Government implementing partner. *Client response July 2015: the UNHCR representation stated that the approval to transfer ownership of the vehicles from UNHCR to the partner and the return of United Nations number plates to the Government of Ethiopia was finalized. The UNHCR representation would continue making efforts to ensure that the partner fully implements the audit recommendation.*	Governance	25 March 2013	31 December 2013	–
UNHCR	AR2013/141/03	The UNHCR representation in Afghanistan, in conjunction with the Bureau for Asia and the Pacific, should: (a) undertake a review of the project implemented by the partner to ascertain the extent to which project objectives were achieved and whether value for money was obtained; and (b) review payments made to the partner, in the context of the deliverables actually	Financial	30 May 2014	30 September 2014	–

Entity	Assignment number	Recommendation	Risk category	Report date	Target date	New estimated completion date
		provided, and recover any payments that are considered to be excessive. *Client response May 2015: recovery process is under way.*				
UNHCR	AR2014/112/01	The UNHCR regional support hub in Kenya should establish appropriate local procedures to ensure that: (a) the regional officers' work plans are focused on high-risk areas and operational priorities and include specific mission plans to the countries in the region together with the required travel resources; (b) for every support mission, a report is prepared, reviewed and sent to the relevant country representative; and (c) the status of implementation of recommendations contained in the regional officers' mission reports is systematically monitored. *Client response December 2015: responsibility for monitoring mission-related recommendations will be transferred to the parent units at UNHCR headquarters as of January 2016.*	Strategy	30 December 2014	31 March 2015	–
UNHCR	AR2014/131/06	The UNHCR representation in Lebanon should establish procedures for: (a) "test-checking" hospital bills for accuracy before releasing payments; (b) monitoring whether hospitals systematically extend discounted rates to refugees; (c) ensuring that the third-party administrator has regular validation checks built into its computerized system used for billing; and (d) monitoring and enforcing the requirement of the third-party	Financial	22 September 2015	31 December 2015	29 February 2016

Entity	Assignment number	Recommendation	Risk category	Report date	Target date	New estimated completion date
		administrator to provide proof of payment for all audited and paid bills in a timely manner. *Client response January 2016: was in the process of clarifying the discrepancies.*				
UNHCR	AR2015/110/01	The UNHCR regional representation in the Democratic Republic of the Congo should: (a) install adequate infrastructure in all locations to allow effective measurement of receipt, issuance and stock-taking of fuel; and (b) implement procedures for accounting for fuel transfers between locations, assessing monthly fuel consumption reports and ensuring that reported consumption rates are based on functioning odometers. *Client response February 2016: implementation in progress. Actions/measures related to fuel transportation and storage as well as odometers are in place.*	Operational	14 October 2015	31 March 2016	–
UNHCR	AR2015/110/03	The UNHCR representation in Cameroon should enforce the requirement to: (a) establish a multifunctional monitoring team; and (b) develop a detailed risk-based plan for monitoring the accuracy and completeness of project activities reported on by partners, the related expenditures, and the adequacy of the partners' financial systems and procedures. *Client response April 2016: multifunctional team was established. Other parts of implementation in progress.*	Operational	3 December 2015	30 June 2016	–

Entity	*Assignment number*	*Recommendation*	*Risk category*	*Report date*	*Target date*	*New estimated completion date*
UNHCR	AR2015/110/03	The UNHCR representation in Cameroon should: (a) implement appropriate management supervision arrangements to ensure compliance with the policy and procedures on procurement undertaken by partners with UNHCR funds; (b) assess the partners' pre-qualification status, as well as their capacity to undertake procurement effectively and provide value for money to UNHCR, before entrusting procurement to them; and (c) ensure that the Implementing Partnership Management Committee reviews all requests for entrusting procurement to partners before the representative's approval. *Client response April 2016: progress made with the prequalification of partners.*	Operational	3 December 2015	31 June 2016	–
UNHCR	AR2015/112/02	The UNHCR representation in South Sudan should develop and implement an action plan that assigns adequate resources and clear responsibilities, including for monitoring by management and oversight by the Local Asset Management Board, to ensure that all assets are physically verified, records are updated and missing items are accounted for. *Client response June 2016: asset verification is under way.*	Compliance	18 May 2016	30 June 2016	–
UNHCR	AR2015/131/02	The UNHCR mission in Libya should: (a) develop a protection and operations strategy, including performance targets that take into account the most likely operating environment as well as alternative targets based on one or more	Strategy	14 April 2016	31 May 2016	–

Entity	Assignment number	Recommendation	Risk category	Report date	Target date	New estimated completion date
		other possible operational scenarios; (b) implement procedures to accurately report on its performance indicators in the UNHCR results-based management system, FOCUS; and (c) at midyear, assess the relevance of the strategy in place and, if necessary, revise the strategy and the related targets and indicators. *Client response April 2016: targets for the 2016 operation plan were developed, best practices on remote management were adopted; related strategy, targets and indicators will be assessed and revised.*				
UNISFA	AP2014/635/03	Confidential. *Client response July 2016: implementation in progress.*	Strategy	27 April 2015	31 August 2015	30 June 2016
UNMISS	AP2014/633/03	UNMISS should implement adequate procedures for maintaining recruitment records and properly supervise staff responsible for doing so. *Client response April 2016: partial implementation. A new comprehensive checklist is being reviewed.*	Human resources	1 September 2015	30 November 2015	30 June 2016
UNOCI	AP2013/640/07	Confidential. *Client response July 2016: implementation in progress.*	Compliance	18 July 2014	30 December 2014	31 December 2016
UNON	AT2010/211/01	The UNON ICT Service: (a) appoint a dedicated Information Security Officer reporting to the Chief of the Service to oversee and drive the implementation of a comprehensive and risk-based information security programme; (b) include information security requirements in customer service-level agreements to ensure that information security requirements are adequately	Strategy	23 December 2010	31 December 2014	31 December 2015

Entity	Assignment number	Recommendation	Risk category	Report date	Target date	New estimated completion date
		addressed in a proactive manner; and (c) arrange an independent assessment of its information security programme at least once a year. *Client response August 2016: work in progress, awaiting resources.*				
UNSOS	AP2013/638/05	UNSOA should monitor bulk fuel issued to AMISOM and its consumption by: (a) enforcing AMISOM reporting procedures and requirements; (b) providing regular training to AMISOM personnel in charge of fuel handling and monitoring; and (c) regularly analysing consumption patterns to identify any unusual activities and take appropriate action. *Client response July 2016: implemented* (OIOS: additional action required to fully implement the recommendation).	Operational	7 August 2014	31 December 2014	28 February 2017
UNSOS	AP2013/638/03	UNSOA should develop and implement a policy on air services to be provided to non-UNSOA and non-AMISOM personnel, and the recovery of costs of such services. *Client response March 2015: implementation of the recommendation is in progress. The policy is under review and UNSOA is recovering the cost of transportation from one contractor. Client response July 2016: implementation in progress.*	Financial	8 December 2014	31 January 2015	–

Entity	Assignment number	Recommendation	Risk category	Report date	Target date	New estimated completion date
UNSOS	AP2014/638/02	UNSOA should implement the procedures for identifying and writing off excess, slow-moving, and obsolete stock. *Client response July 2016: implementation of the recommendation is in progress, including the ongoing physical verification exercise as part of the implementation of the supply chain management and related process streamlining.*	Operational	20 April 2015	30 June 2015	31 August 2016
UNSOS	AP2014/638/08	UNSOS should: (a) assign resources to calculate applicable performance credits related to the first 25 consumption cycles so as to determine the amounts to be offset against reimbursement claims submitted by the contractor and recover any remaining amounts due to UNSOS; and (b) implement a mechanism to ensure performance credits are calculated in a timely manner and invoices are adjusted accordingly. *Client response July 2016: implementation of the recommendation is in progress. UNSOS is strengthening the capacity of the rations unit with the aim of implementing this recommendation during the fourth quarter of 2016.*	Compliance	18 December 2015	31 December 2015	31 December 2016

V. Financial implications of recommendations of the Office of Internal Oversight Services

5. Table 3 provides a summary of OIOS recommendations with financial implications. OIOS classifies financial implications into several categories, including loss and waste of resources, recovery, expenditure reduction, additional income and budget reduction.

6. During the reporting period, OIOS identified $18.7 million in recoveries and expenditure reduction, of which $2.1 million were realized during that period.

Table 3
Financial implications of OIOS recommendations, 1 July 2015-30 June 2016
(United States dollars)

Entity	*Assignment number*	*Description*	*Estimated amount*	*Realized amount*
ECLAC	0084/15	Insurance fraud	170 967	–
MINUSMA	AP2014/641/06	Recovery of costs	3 300 000	1 400 000
OCHA	0082/15	Fraud and misappropriation	302 178	–
OCHA	0181/15	Fraud and misappropriation	11 342 554	–
OCHA	0134/15	Fraud and misappropriation	665 310	–
OCHA	0143/14	Fraud and misappropriation	1 896 083	–
OCHA	0127/14	Fraud and misappropriation	343 070	–
UNCTAD	0461/15	Insurance fraud	4 739	–
UN-Habitat	0395/14	Fraud and misappropriation	3 974	–
UNHCR	AR2014/112/04	Recovery of expenditure	28 664	18 854
UNOCI	AP2014/640/11	Expenditure reduction	543 000	543 000
UNOCI	AP2014/640/11	Expenditure reduction	159 000	159 000
UNSOA	0336/15	Entitlement fraud	19 967	–
Total			**18 779 506**	**2 120 854**

VI. Reports issued during the reporting period

7. During the 12-month period from 1 July 2015 to 30 June 2016, OIOS issued 362 oversight reports, comprising 7 reports to the General Assembly, 196 internal audit reports, 108 investigation reports, 44 closure reports and 7 evaluation and inspection reports. Table 4 provides a list of all the reports issued during the reporting period.

Table 4
Reports issued during the period from 1 July 2015 to 30 June 2016

A. Reports to the General Assembly

Symbol	*Date*	*Subject*
A/70/318 (Part I)/ Add.1	12 August 2015	Activities of OIOS for the period from 1 July 2014 to 30 June 2015
A/70/318 (Part I)	13 August 2015	Activities of OIOS for the period from 1 July 2014 to 30 June 2015
A/70/318 (Part II)	22 February 2016	Activities of OIOS on peace operations for the period from 1 January to 31 December 2015
E/AC.51/2016/2	24 March 2016	Triennial review of the implementation of recommendations on the programme evaluation of UNEP
E/AC.51/2016/3	24 March 2016	Triennial review of the implementation of recommendations on the programme evaluation of OCHA
E/AC.51/2016/4	24 March 2016	Triennial review of the implementation of recommendations on the programme evaluation of UNODC
A/70/873-S/2016/441	12 May 2016	Evaluation of the methods and work of ICTY

B. Reports to programme managers issued by the Internal Audit Division

Entity	*Issue date*	*Audit report subject*	*Report rating*	*Number of recommendations*	
				Critical	*Important*
DESA	22 April 2016	Management of the statistics subprogramme and related technical cooperation projects	Partially satisfactory	0	6
	29 April 2016	Management of the public administrative and development management subprogramme and related technical cooperation projects	Partially satisfactory	0	1
DFS	24 September 2015	Entitlement travel services provided by RSCE	Unsatisfactory	2	3
	14 October 2015	United Nations Mission for Ebola Emergency Response	Satisfactory	0	2
	22 October 2015	Field Personnel Specialist Support Service in DFS	Partially satisfactory	0	8
	1 December 2015	Implementation of the interface between Umoja and Galileo	Partially satisfactory	0	3
	9 March 2016	Guidance and oversight of wastewater management in peacekeeping operations	Partially satisfactory	0	4
DFS, DM	24 December 2015	Management of delegation of procurement authority by DFS	Partially satisfactory	0	2
DFS, DM, MONUSCO	16 December 2015	Construction projects at RCSE	Unsatisfactory	2	4
DFS, MONUSCO	5 May 2016	Aviation information management system	Unsatisfactory	4	4
DFS, MONUSCO, UNMISS, UNISFA	2 February 2016	Leave and attendance records at RSCE, MONUSCO, UNMISS and UNISFA	Partially satisfactory	0	5
DFS, UNIFIL	25 September 2015	Migration of Lotus Notes applications and electronic mail system in UNIFIL	Partially satisfactory	0	9
DM	21 July 2015	Closure of the capital master plan	Satisfactory	0	0
DM	24 September 2015	Recruitment process at United Nations Headquarters in New York	Partially satisfactory	0	4
	14 October 2015	Review of the management of implementing partners	N.A.	0	10

Entity	Issue date	Audit report subject	Report rating	Number of recommendations	
				Critical	Important
	20 November 2015	Advisory engagement related to the strengthening of the new design of internal controls over selected human resources transactions at the Office of Human Resources Management	N.A.	0	0
	7 December 2015	Effectiveness of the role of the Medical Services Division in the medical evacuation process	Partially satisfactory	0	4
	11 December 2015	Administration of language proficiency examinations	Partially satisfactory	0	2
	18 March 2016	Administration of staff compensation claims	Partially satisfactory	0	6
DM, DFS	22 March 2016	Review of recurrent issues in the implementation of Umoja in field missions	N.A.	0	2
DM, DFS, MINUSTAH	21 July 2015	Implementation of the Umoja system	Unsatisfactory	2	6
DM, DFS, UNOCI	16 October 2015	Implementation of the electronic fuel management system	Unsatisfactory	4	5
DM, DSS	19 February 2016	Emergency preparedness at United Nations Headquarters	Partially satisfactory	0	8
DM, MONUSCO, DFS	28 August 2015	Implementation of Umoja in MONUSCO and RSCE	Unsatisfactory	2	8
DPA	3 December 2015	Policy and Mediation Division	Partially satisfactory	0	2
	21 March 2016	Management of the trust fund to support work in the field of political affairs	Partially satisfactory	0	3
DPKO	6 November 2015	Office of Operations	Partially satisfactory	0	4
DPKO, DFS	14 July 2015	Predeployment process for uniformed personnel	Partially satisfactory	0	7
DPKO, DFS	6 January 2016	Recruitment and use of consultants and individual contractors	Partially satisfactory	0	6

Entity	*Issue date*	*Audit report subject*	*Report rating*	*Number of recommendations*	
				Critical	*Important*
ECA	2 October 2015	Statistics subprogramme and related technical cooperation projects	Partially satisfactory	0	6
	4 March 2016	Management of the technical cooperation project on ICT in Africa — phase II	Partially satisfactory	0	2
	27 May 2016	Management of the regular programme for technical cooperation projects and activities	Partially satisfactory	0	2
	13 June 2016	Management of technical cooperation projects	Partially satisfactory	0	3
ECE	26 May 2016	Selected subprogrammes and related technical cooperation projects	Partially satisfactory	0	3
ECLAC	22 March 2016	Selected subprogrammes and related technical cooperation projects	Partially satisfactory	0	1
EOSG	22 March 2016	United Nations Secretariat engagement with selected non-governmental organizations and a related entity	Partially satisfactory	0	7
	22 March 2016	Management of the trust fund to support the Office of the President of the General Assembly	Partially satisfactory	0	2
ESCAP	16 December 2015	Management of selected subprogrammes and related capacity development projects	Partially satisfactory	0	6
ESCWA	11 December 2015	Implementation of Umoja	Partially satisfactory	0	3
ICTR	27 June 2016	Liquidation activities	Partially satisfactory	0	5
ICTY and MICT	10 November 2015	Provision of assistance to national jurisdictions	Partially satisfactory	0	2
ITC	29 July 2015	Advisory engagement to assist ITC in its efforts to develop a risk management framework	N.A.	0	0
MICT	16 February 2016	Construction of a new office facility in Arusha, United Republic of Tanzania	Satisfactory	0	2
	13 June 2016	Archives and records management	Satisfactory	0	5

Entity	Issue date	Audit report subject	Report rating	Number of recommendations	
				Critical	Important
MINUSCA	30 September 2015	Emergency preparedness	Unsatisfactory	4	4
	30 November 2015	Management of rations	Unsatisfactory	3	5
	19 February 2016	Fuel management	Partially satisfactory	0	6
	19 May 2016	Local procurement	Partially satisfactory	0	3
	30 October 2015	Use of the Umoja deployment guidelines	Partially satisfactory	0	7
	27 November 2015	Air operations	Unsatisfactory	2	9
	15 January 2016	Contingent-owned equipment	Unsatisfactory	2	5
	13 May 2016	Management of rations	Partially satisfactory	0	8
MINUSTAH	22 July 2015	Conduct and discipline function	Partially satisfactory	0	6
	27 August 2015	Trust fund to strengthen cells and units within the Haitian National Police specialized in sexual and gender-based crimes	Partially satisfactory	0	4
	30 October 2015	Engineering projects	Partially satisfactory	0	5
	11 November 2015	Private security services	Unsatisfactory	1	5
	26 April 2016	Use of consultants and individual contractors	Unsatisfactory	2	9
	27 May 2016	Leave and attendance records	Unsatisfactory	3	4
MONUSCO	29 September 2015	Management of the rations contract	Partially satisfactory	0	3
	2 October 2015	Waste management	Unsatisfactory	3	2
	14 October 2015	Recruitment of national staff	Partially satisfactory	0	6
	13 November 2015	Conduct and discipline function	Partially satisfactory	0	5
	16 December 2015	Child protection activities	Partially satisfactory	0	3
	17 December 2015	Sexual and gender-based violence support	Partially satisfactory	0	5

Entity	Issue date	Audit report subject	Report rating	Number of recommendations	
				Critical	Important
	19 May 2016	Contingent-owned equipment	Partially satisfactory	0	3
	10 June 2016	Local procurement	Partially satisfactory	0	6
OCHA	22 December 2015	Operations in Afghanistan	Partially satisfactory	0	10
	24 December 2015	Management of the Central Emergency Response Fund	Partially satisfactory	0	2
	29 April 2016	Operations in South Sudan	Partially satisfactory	0	7
	19 May 2016	Operations in Syria	Partially satisfactory	0	7
OCHA, DM	8 September 2015	Review of recurrent issues identified in recent internal audit engagements for OCHA	N.A.	0	3
OHCHR	12 May 2016	United Nations human rights training centre for South-West Asia and the Arab Region in Doha	Partially satisfactory	0	8
OHCHR	24 June 2016	Fundraising activities	Partially satisfactory	0	5
UNAMA	10 June 2016	Leave and attendance records	Partially satisfactory	0	4
UNAMA, UNAMI	7 December 2015	Use of consultants and individual contractors	Partially satisfactory	0	1
UNAMA, UNAMI, DFS	29 July 2015	Field support suite of applications in selected field missions/offices	Partially satisfactory	0	6
UNAMI	28 August 2015	Kuwait Joint Support Office	Partially satisfactory	0	5
	28 August 2015	Vehicle fleet management	Partially satisfactory	0	6
	15 December 2015	Safety and security	Partially satisfactory	0	8
	13 June 2016	Management of national staff recruitment	Partially satisfactory	0	1
	16 June 2016	Leave and attendance records	Partially satisfactory	0	3
UNAMID	29 September 2015	Conduct and discipline function in UNAMID	Partially satisfactory	0	5
	5 November 2015	Local procurement of goods and services	Satisfactory	0	2
	1 December 2015	Leave and attendance records	Partially satisfactory	0	2

Entity	Issue date	Audit report subject	Report rating	Number of recommendations	
				Critical	Important
	1 December 2015	Use of consultants and individual contractors	Partially satisfactory	0	5
	1 December 2015	Military engineering works	Unsatisfactory	1	1
	2 December 2015	Integrated Mission Training Centre	Partially satisfactory	0	4
	4 December 2015	Humanitarian assistance programme	Partially satisfactory	0	2
	4 March 2016	Contingent-owned equipment	Partially satisfactory	0	2
	13 May 2016	Contracts management	Partially satisfactory	0	2
	26 May 2016	Engineering and construction activities	Partially satisfactory	0	5
UNCCD	12 October 2015	Financial management	Partially satisfactory	0	4
UNCTAD	24 August 2015	Special Unit on Commodities	Partially satisfactory	0	3
	10 May 2016	Projects on strengthening institutions and capacity-building in the area of competition and consumer protection	Partially satisfactory	0	8
UNDOF	20 November 2015	Safety and security	Partially satisfactory	0	5
UNEP	27 August 2015	Caribbean Environment Programme	Partially satisfactory	0	4
	22 December 2015	Chemicals and waste subprogramme	Partially satisfactory	0	7
	29 April 2016	Disasters and conflicts subprogramme	Partially satisfactory	0	7
UNFCCC	24 September 2015	Contract administration	Partially satisfactory	0	4
	22 February 2016	Adaptation programme at UNFCCC	Partially satisfactory	0	2
UNFCCC	31 May 2016	Management of trust funds	Partially satisfactory	0	4
UN-Habitat	27 August 2015	Regional office for Latin America and the Caribbean	Partially satisfactory	0	5
	25 September 2015	Urban Basic Services subprogramme	Partially satisfactory	0	6
	16 December 2015	Regional office for Arab States	Partially satisfactory	0	4

Entity	Issue date	Audit report subject	Report rating	Number of recommendations	
				Critical	Important
UNHCR	14 July 2015	Operations in Ukraine	Unsatisfactory	3	4
	1 September 2015	Arrangements for official travel at headquarters and in field operations	Partially satisfactory	0	8
	2 September 2015	Arrangements for cash-based interventions	Partially satisfactory	0	6
	22 September 2015	Operations in Lebanon	Unsatisfactory	3	4
	24 September 2015	Operations in Somalia	Partially satisfactory	0	7
	24 September 2015	Review of recurrent asset and inventory management issues in internal audit reports on field operations	N.A.	0	3
	30 September 2015	Operations in Algeria	Partially satisfactory	0	8
	30 September 2015	Audit of ICT hosting services provided by third parties	Partially satisfactory	0	3
	30 September 2015	Operations in Indonesia	Partially satisfactory	0	6
	13 October 2015	Regional operations in Panama	Partially satisfactory	0	6
	14 October 2015	Operations in the Democratic Republic of the Congo	Unsatisfactory	3	3
	26 October 2015	Arrangements for implementing partner personnel costs	Partially satisfactory	0	6
	27 October 2015	Operations in Sudan	Partially satisfactory	0	6
	2 November 2015	Operations in Montenegro	Partially satisfactory	0	4
	24 November 2015	Operations in the Central African Republic	Partially satisfactory	0	6
	24 November 2015	Entitlements on appointment, reassignment and separation of staff	Partially satisfactory	0	5
	2 December 2015	Operations in Liberia	Partially satisfactory	0	5
	3 December 2015	Operations in Cameroon	Unsatisfactory	4	3
	8 December 2015	Regional office in Thailand	Partially satisfactory	0	3
	8 December 2015	Operations in Bangladesh	Partially satisfactory	0	6

Entity	Issue date	Audit report subject	Report rating	Number of recommendations	
				Critical	Important
	8 December 2015	Operations in Myanmar	Partially satisfactory	0	4
	10 December 2015	Operations in Thailand	Partially satisfactory	0	5
	16 December 2015	Regional bureau for the Middle East and North Africa	Partially satisfactory	0	5
	17 December 2015	Operations in the Islamic Republic of Iran	Partially satisfactory	0	6
	29 December 2015	Operations in the Russian Federation	Partially satisfactory	0	6
	29 February 2016	Operations in the United Republic of Tanzania	Partially satisfactory	0	7
	23 March 2016	ICT support provided to UNHCR field operations	Partially satisfactory	0	7
	24 March 2016	Operations in Zambia	Partially satisfactory	0	5
	28 March 2016	Arrangements for water, sanitation and hygiene programmes	Partially satisfactory	0	5
	14 April 2016	Operations in Libya	Unsatisfactory	2	3
	25 April 2016	Audit of the arrangements for procurement undertaken by partners	Unsatisfactory	4	1
	3 May 2016	Operations in Djibouti	Partially satisfactory	0	9
	16 May 2016	Operations in Egypt	Satisfactory	1	3
	18 May 2016	Operations in South Sudan	Unsatisfactory	2	5
	2 June 2016	Operations in Nepal	Satisfactory	0	3
UNICRI	27 November 2015	UNICRI	Partially satisfactory	0	8
UNIFIL	26 August 2015	Engineering support services	Partially satisfactory	0	4
	10 November 2015	Civil affairs activities	Partially satisfactory	0	5
	17 December 2015	Waste management	Partially satisfactory	0	5
	24 March 2016	Leave and attendance records	Partially satisfactory	0	1
	27 May 2016	Inventory management	Partially satisfactory	0	3

Entity	*Issue date*	*Audit report subject*	*Report rating*	*Number of recommendations*	
				Critical	*Important*
UNISFA	30 September 2015	Local procurement	Partially satisfactory	0	3
	30 November 2015	Contingent-owned equipment	Partially satisfactory	0	2
	26 May 2016	Utilization of logistical arrangements and support structures of other missions and RSCE	Partially satisfactory	0	1
UNITAR	8 April 2016	Project management	Partially satisfactory	0	5
UNJSPF	2 September 2015	Management of external portfolio managers for small capitalization investments in the Investment Management Division	Partially satisfactory	0	3
	27 November 2015	Human resources management	Partially satisfactory	0	5
	18 December 2015	ICT operations in the Secretariat	Partially satisfactory	0	8
UNJSPF, UNJSPF-IMD	29 December 2015	Special review of selected issues	N.A.	0	4
	25 April 2016	Advisory engagement on the project regarding the statement on internal control	N.A.	0	0
UNJSPF-IMD	30 November 2015	ICT operations	Partially satisfactory	0	8
UNJSPF-IMD	18 March 2016	Credit risk management	Satisfactory	0	0
	18 March 2016	Market risk management	Partially satisfactory	0	5
	16 May 2016	Business continuity and disaster recovery planning	Partially satisfactory	0	6
UNMIK	7 April 2016	United Nations Interim Administration in Kosovo	Partially satisfactory	0	8
UNMIL	16 September 2015	Recruitment of national staff	Partially satisfactory	0	5
	2 December 2015	Conduct and discipline function	Partially satisfactory	0	7
	31 March 2016	Waste management and selected environmental activities	Unsatisfactory	1	4
	26 May 2016	Downsizing the planning process	Satisfactory	0	0

Entity	Issue date	Audit report subject	Report rating	Number of recommendations	
				Critical	Important
UNMISS	1 September 2015	Recruitment of national staff and individual contractors	Unsatisfactory	1	4
	16 October 2015	Management of engineering projects	Unsatisfactory	3	4
	19 October 2015	Use of the Umoja deployment guidelines	Partially satisfactory	0	5
	20 October 2015	Conduct and discipline function	Partially satisfactory	0	5
	30 October 2015	Local procurement	Partially satisfactory	0	5
	9 December 2015	Contingent-owned equipment	Partially satisfactory	0	4
	17 December 2015	Use of international consultants and international individual contractors	Partially satisfactory	0	5
UNMOGIP	2 December 2015	UNMOGIP	Partially satisfactory	0	6
UNOCI	14 July 2015	Use of the Umoja deployment guidelines	Partially satisfactory	0	7
	14 July 2015	Minimum operating residential security standards entitlements for staff	Partially satisfactory	0	5
	29 September 2015	Waste management	Unsatisfactory	2	3
	21 October 2015	Conduct and discipline function	Partially satisfactory	1	6
UNOCI	19 February 2016	Local procurement	Partially satisfactory	0	6
UNODC	20 August 2015	Operations in Peru	Partially satisfactory	0	6
	13 November 2015	Operations in the Islamic Republic of Iran	Partially satisfactory	0	7
UNOG	16 September 2015	Management of common contracts	Partially satisfactory	0	4
	10 December 2015	Resilience management	Partially satisfactory	0	7
	14 March 2016	Recruitment and use of short-term staff in the Security and Safety Service	Partially Satisfactory	0	5
UNON	4 March 2016	Provision of translation and editorial services	Partially satisfactory	0	3
	11 May 2016	Safety and security	Partially satisfactory	1	6

Entity	*Issue date*	*Audit report subject*	*Report rating*	*Number of recommendations*	
				Critical	*Important*
	13 May 2016	Administrative arrangements for the provision of common services	Partially satisfactory	0	6
UNOV	31 July 2015	Management of common contracts	Partially satisfactory	0	4
	18 December 2015	Resilience management	Partially satisfactory	0	6
UNSCO	24 August 2015	Safety and security	Partially satisfactory	0	3
UNSCO, UNTSO	26 May 2016	Support services provided by UNTSO to UNSCO	Partially satisfactory	0	1
UNSCOL	13 June 2016	Management of UNSCOL	Partially satisfactory	0	7
UNSOS	31 August 2015	Contracts management	Partially satisfactory	0	3
	31 August 2015	Recruitment of national staff	Partially satisfactory	0	4
	29 September 2015	Vehicle fleet management	Partially satisfactory	0	6
	18 December 2015	Audit of asset disposal and Local Property Survey Board activities	Partially satisfactory	0	1
	18 December 2015	Rations management	Unsatisfactory	3	6
	27 May 2016	Use of consultants and individual contractors	Partially satisfactory	0	5
UNSOS/UNSOM	14 March 2016	Safety and security	Unsatisfactory	3	2

C. Reports to programme managers issued by the Investigations Division, excluding closure reports

Entity	*Issue date*	*Report subject*
DFS	5 August 2015	Contingent report on misconduct by a peacekeeper at MINUSMA
	20 August 2015	Contingent report on physical assault and abuse of authority by peacekeepers at UNAMID
	15 September 2015	Investigation report on alleged retaliation by a staff member at MINUSTAH
	2 October 2015	Investigation report on the failure to report a conflict of interest by a staff member at UNOCI
	2 November 2015	Investigation report on a mala fide complaint made by a staff member at UNMIL
	2 November 2015	Contingent report on sexual exploitation by peacekeepers at MINUSMA
	2 November 2015	Contingent report on the assault of a staff member by a peacekeeper at UNAMI
	2 November 2015	Contingent report on sexual abuse by a peacekeeper at UNMIL
	10 November 2015	Contingent report on the sexual abuse of a minor by a peacekeeper in the former MONUC
	10 November 2015	Contingent report on misconduct by a peacekeeper at MINUSMA
	11 November 2015	Investigation report on a mala fide complaint by a staff member at UNMIL
	25 November 2015	Contingent report on sexual abuse by a peacekeeper at MINUSCA
	25 November 2015	Investigation report on conduct unbefitting a United Nations police officer at UNMIL
	25 November 2015	Investigation report on the theft of fuel at UNSOA
	3 December 2015	Investigation report on the provision of weapons training to unauthorized persons and the trading of ammunition by a staff member UNSMIL
	10 December 2015	Contingent report on possible misconduct implicating peacekeepers at MINUSMA

Entity	*Issue date*	*Report subject*
DFS	10 December 2015	Contingent report on the sexual abuse of a minor by a peacekeeper at MONUSCO
	7 January 2016	Investigation report on the forgery of an official document by a staff member at UNAMID
	7 January 2016	Investigation report on fraud and conflict of interest on the part of a staff member at MINUSTAH
	7 Janunry 2016	Investigation report on a mala fide complaint made by a staff member at UNMIL
	7 January 2016	Contingent report on sexual exploitation and abuse by members of the military contingent at MINUSCA
	14 January 2016	Investigation report on fraud and conflict of interest on the part of a staff member at MINUSTAH
	14 January 2016	Contingent report on theft of diamonds by a peacekeeper at MINUSCA
	14 January 2016	Contingent report on misappropriation of fuel by peacekeepers MINUSCA
	14 January 2016	Contingent report on forgery and misrepresentation by peacekeepers at MINUSCA
	15 January 2016	Preliminary investigation report on the excessive use of force by peacekeepers at MINUSCA resulting in the death of civilians
	26 January 2016	Investigation report on the sexual exploitation and abuse of a minor at MINUSCA
	29 January 2016	Investigation report on misconduct by a staff member appointed at UNAMID
	29 January 2016	Investigation report on misconduct by a former staff member appointed at BINUCA
	29 January 2016	Investigation report on sexual assault by a UNV volunteer at UNMIL
	29 January 2016	Contingent report on sexual exploitation and abuse by peacekeepers at MINUSCA
	18 February 2016	Investigation report on solicitation of money and/or gifts by a staff member at MONUSCO
	9 March 2016	Contingent report on possible misconduct by a peacekeeper at UNFICYP

Entity	*Issue date*	*Report subject*
	9 March 2016	Investigation report on sexual abuse by a United Nations police officer at UNOCI
	18 March 2016	Investigation report on sexual harassment and abuse of authority by a former staff member at UNAMID
	18 March 2016	Investigation report on entitlement fraud by a staff member at UNSOA
	18 March 2016	Investigation report on misconduct by a formed police unit officer at UNOCI
	18 March 2016	Investigation report on misconduct by a formed police unit officer at UNOCI
	18 March 2016	Contingent report on sexual exploitation and abuse by a peacekeeper at MINUSCA
	18 March 2016	Investigation report on serious misconduct by a military observer at UNOCI
	29 March 2016	Contingent report on the death of a peacekeeper at UNISFA
	29 March 2016	Contingent report on sexual exploitation and abuse by a peacekeeper at MINUSCA
	4 April 2016	Contingent report on possible misconduct by peacekeepers at UNMISS
	4 April 2016	Contingent report on sexual abuse of a minor by a peacekeeper at MINUSCA
	6 April 2016	Contingent report on interference with an investigation and threats to kill by a peacekeeper at MINUSCA
	2 May 2016	Investigation report on the sexual abuse of a minor by a staff member at MONUSCO
	2 May 2016	Contingent report on an allegation of sexual abuse of a minor by a peacekeeper at MINUSCA
	2 May 2016	Contingent report on an allegation of sexual abuse by a peacekeeper at MINUSCA
	2 May 2016	Contingent report on an allegation of sexual abuse by a peacekeeper at MINUSCA
	2 May 2016	Contingent report on allegations of possible sexual exploitation and use of counterfeit currency by a peacekeeper at MINUSCA
	2 May 2016	Contingent report on physical assault by a peacekeeper at MINUSCA

Entity	*Issue date*	*Report subject*
	2 May 2016	Contingent report on physical assault and possible sexual exploitation by peacekeepers at MINUSCA
	13 May 2016	Investigation report on alleged prohibited conduct by a former staff member at UNAMID
	13 May 2016	Contingent report on allegations of excessive use of force by peacekeepers at UNMISS
	13 May 2016	Contingent report on sexual exploitation and abuse by a peacekeeper at MONUSCO
	16 May 2016	Investigation report on an allegation of forgery and submission of fraudulent medical claims by a staff member at UNMISS
	23 May 2016	Contingent report on serious misconduct by a peacekeeper at MINUSMA
	25 May 2016	Investigation report on sexual abuse by a UNV volunteer at MINUSMA
	10 June 2016	Contingent report on sexual abuse by a peacekeeper at MONUSCO
	10 June 2016	Contingent report on sexual exploitation and abuse by peacekeepers at MINUSCA
	10 June 2016	Investigation report on medical insurance fraud by a staff member at UNMISS
	10 June 2016	Investigation report on physical assault by formed police unit officers at UNOCI
	13 June 2016	Investigation report on the disclosure of confidential information relating to a procurement process at UNSOA
	16 June 2016	Investigation report on entitlement fraud and forgery by a staff member at UNMISS
	16 June 2016	Investigation report on forgery and submission of fraudulent medical claims by a staff member at UNMISS
	24 June 2016	Contingent report on sexual exploitation and abuse by a peacekeeper at MONUSCO
	28 June 2016	Investigation report on allegations of irregular conduct related to a 2013 letter of assist agreement with the Government of Ghana by a staff member at DFS
	30 June 2016	Investigation report on medical insurance fraud by a staff member of UNMISS

Entity	*Issue date*	*Report subject*
	30 June 2016	Investigation report on medical insurance fraud by a staff member of UNMISS
DPA	29 March 2016	Investigation report on an alleged leak of confidential information by a staff member at United Nations Headquarters
DSS	23 May 2016	Investigation report on unauthorized outside activity and conflict of interest by a staff member in DSS
ECLAC	20 November 2015	Investigation report on possible medical insurance fraud by a national staff member at ECLAC
ICTY	23 May 2016	Investigation report on a mala fide complaint by a staff member at ICTY
MINUSCA	27 July 2015	Contingent report on unlawful detention, torture and inhumane treatment by peacekeepers at MINUSCA
OCHA	23 December 2015	Investigation report on fraud and misappropriation of donor project funds by personnel of an implementing partner
	11 January 2016	Report on rebuttal to an investigation report on fraud and misappropriation of donor project funds by an implementing partner
	4 February 2016	Investigation report on fraud and misappropriation of donor project funds by an implementing partner
	4 February 2016	Investigation report on misconduct by a consultant engaged by OCHA
	23 May 2016	Investigation report on fraud and misappropriation of donor project funds by an implementing partner
	13 June 2016	Investigation report on assessment of fraud and misappropriation of donor project funds by an implementing partner
	13 June 2016	Investigation report on fraud and misappropriation of donor project funds by an implementing partner
OHCHR	27 July 2015	Investigation report on misconduct by a staff member at OHCHR
	23 May 2016	Investigation report on outside activities and conflict of interest by a staff member at OHCHR
OHRLLS	28 June 2016	Investigation report on outside activities by a former staff member at OHRLLS
UNAIDS	24 June 2016	Investigation report on possible misconduct by a staff member at UNAIDS

Entity	*Issue date*	*Report subject*
UNCTAD	10 June 2016	Investigation report on medical claim fraud by a staff member at UNCTAD
	13 June 2016	Investigation report on misconduct by a staff member at UNCTAD
UNEP	6 November 2015	Investigation report on serious misconduct in the form of physical assault by a staff member at UNEP
UNESCO	25 February 2016	Investigation report on fraud by staff members at UNESCO
UN-Habitat	28 July 2015	Investigation report on outside activity and conflict of interest by a staff member at UN-Habitat
	17 August 2015	Investigation report on misappropriation of funds by a staff member at UN-Habitat
	12 April 2016	Investigation report on a complaint of prohibited conduct by a staff member UN-Habitat
UNHCR	28 March 2016	Investigation report on harassment and abuse of authority by a staff member at UNHCR
UNICEF	10 June 2016	Investigation report on an allegation of sexual assault of a humanitarian worker by an employee of a UNICEF partner at UNMISS
UNMIK	6 July 2015	Investigation report on misconduct by a staff member at UNMIK
UNODC	2 October 2015	Investigation report on unauthorized outside activities, failure to disclose conflict of interest and failure to report possible misconduct by a staff member at UNODC
	7 January 2016	Investigation report on unauthorized outside activities and failure to disclose conflicts of interest by a staff member at UNODC
UNOG	8 July 2015	Investigation report on procurement irregularities on the part of a staff member at UNOG
UNON	15 July 2015	Investigation report on unauthorized outside activities, conflict of interest and misuse of office by a staff member at UNON
	25 November 2015	Investigation report on the misuse of United Nations commissary privileges and lapses in security at UNON
	29 January 2016	Investigation report on misconduct by a staff member at UNON
	18 March 2016	Investigation report on theft by a staff member at UNON

Entity	*Issue date*	*Report subject*
	18 March 2016	Investigation report on fraud and non-compliance with United Nations rules and regulations by a vendor at UNON
	13 June 2016	Investigation report on misconduct by an employee of a contractor at UNON
	24 June 2016	Investigation report on misconduct by a staff member at UNON
UNOV	28 July 2015	Investigation report on conflict of interest and unauthorized outside activities by a staff member at UNOV
UNSOA	31 July 2015	Investigation report on serious misconduct by a staff member at UNSOA
WIPO	15 March 2016	Investigation report on abuse of authority and procurement irregularities implicating a staff member at WIPO

D. Closure reports issued by the Investigations Division

Entity	*Issue date*	*Closure report subject*
DFS	5 August 2015	Death threats against a staff member at UNIFIL
DFS	24 August 2015	Misconduct by a staff member at UNSOA
DFS	3 September 2015	Possible procurement irregularities at MONUSCO
DFS	21 September 2015	Failure to disclose a change in dependency status by a staff member at UNIFIL
DFS	1 October 2015	Fraud by a staff member at MINUSTAH
DFS	1 October 2015	Fraud by a staff member at MINUSTAH
DFS	1 October 2015	Fraud by a staff member at MINUSTAH
DFS	1 October 2015	Fraud by a staff member at MINUSTAH
DFS	1 December 2015	Sexual abuse of a minor by a staff member at UNOCI
DFS	6 January 2016	Report of the sexual abuse of a minor by a staff member at UNMIL
DFS	6 January 2016	Sexual abuse of a minor by a staff member at UNOCI
DFS	4 March 2016	Sexual abuse, harassment and verbal threats by a staff member at MINUSCA
DFS	4 March 2016	Sexual abuse implicating a staff member at UNMIL
DFS	9 March 2016	Failure to report misconduct by a staff member at MINUSMA

Entity	*Issue date*	*Closure report subject*
DFS	18 March 2016	Irregular conduct related to the 2011-2013 letters of assist agreements with the Government of Pakistan in DFS
DFS	4 April 2016	Extortion by a staff member at MINUSTAH
DFS	6 April 2016	Assault of a national staff member by a formed police unit officer at MONUSCO
DFS	7 April 2016	Allegations of irregular conduct related to the 2013 letters of assist agreements with the Government of Ghana by a staff member at DFS
DFS	18 April 2016	Allegations of assault by a staff member at UNOCI
DFS	20 April 2016	Allegations of sexual exploitation by a staff member at UNISFA
DFS	2 May 2016	Recruitment irregularities at UNTSO
DFS	4 May 2016	Allegation of the use of forged documents by a staff member at MONUSCO
DFS	5 May 2016	Allegation of assault by a staff member at UNOCI
DFS	5 May 2016	Sexual abuse of a minor by a formed police unit officer at MINUSCA
DFS	9 May 2016	Sexual exploitation, physical assault and the failure to honour private obligations by a staff member at UNOCI
DFS	13 May 2016	Attempted sexual abuse of a minor by unidentified personnel at UNOCI
DFS	23 May 2016	Reported corrupt practices in the selection and recruitment of individual United Nations police officers peacekeeping operations
DFS	1 June 2016	Irregular contracting practices by a staff member at UNMISS
DFS	24 June 2016	Management of a trust fund and procurement irregularities by staff members at UNIOGBIS
DFS	27 June 2016	Alleged corruption by a staff member at MINUSTAH
DGACM	28 March 2016	Corruption by a staff member in DGACM
DM	4 April 2016	Misuse of information and computer technology by a staff member at DM
DPKO	18 November 2015	Alleged corrupt practices in the selection and recruitment of individual United Nations police officers and military observers in DPKO

Entity	*Issue date*	*Closure report subject*
ECE	23 May 2016	Possible conflict of interest by a staff member at ECE
MONUSCO	31 July 2015	Unauthorized ICT access by a staff member at MONUSCO
OCHA	30 January 2016	Report of visa fraud, violation of national sovereignty, and disregard for the United Nations code of conduct by a staff member in OCHA
OHCHR	11 January 2016	Possible unauthorized sharing of confidential information by a staff member at OHCHR
OHRLLS	28 March 2016	Fraudulent dependency benefits claims by a staff member at United Nations Headquarters
UNICRI	19 November 2015	Acceptance of instructions from an external source by a staff member at UNICRI
UNJSPF	30 March 2016	Report of unauthorized access to the Exchange e-mail system at UNJSPF
UNJSPF	23 May 2016	Fraud and mismanagement at UNJSPF
UNOG	10 August 2015	Unauthorized ICT access by a staff member at UNOG
UNOG	15 March 2016	Alleged procurement irregularities at UNOG
UNON	16 June 2016	Reported possible fraud at UNON

E. Reports to programme managers issued by the Inspection and Evaluation Division

Entity	*Issue date*	*Report subject*
DPKO	28 August 2015	OIOS report on the Review of the logical frameworks underlying selected aspects of the United Nations peacekeeping operations
DPA	31 May 2016	Evaluation of DPA
DPKO/DFS	29 December 2015	Evaluation of senior leadership training at DPKO and DFS
ECE	21 April 2016	Evaluation of ECE
ESCWA	30 March 2016	Evaluation of ESCWA
United Nations Secretariat	17 November 2015	United Nations Secretariat evaluation scorecards for the biennium 2012-2013
UNHCR	7 April 2016	Evaluation of UNHCR